IAP7 Rec'd PCT/PTO 19 JUL 2006

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

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|   |      | SIGNATED/ELECTED OFFICE (   |                           | 011235.57906US      |  |  |  |  |  |  |  |  |
| CC  |      | RNING A SUBMISSION UNDE   | •                         | U.S. APPLICATION NO | D. (If known, see 37 CFR 1.5)  |  |  |  |  |  |  |  |
| INTE  |      | ONAL APPLICATION NO.  | INTERNATIONAL FILING      | DATE                | PRIORITY DATE CLAIMED  |  |  |  |  |  |  |  |
|   | P    | CT/DE2004/002799  | Decembe                   | r 22, 2004          | January 20, 2004   |  |  |  |  |  |  |  |
| PROCESS FOR ELECTROCHEMICAL STRIPPING OF COMPONENTS   |      |   |                           |                     |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  Friedrich-Wilhelm BACH   |      |   |                           |                     |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |      |   |                           |                     |  |  |  |  |  |  |  |  |
| 1.  |      | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |                           |                     |  |  |  |  |  |  |  |  |
| 2.  |      | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.   |                           |                     |  |  |  |  |  |  |  |  |
| 3.  |      | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9)   |                           |                     |  |  |  |  |  |  |  |  |
| 4   |      | and (21) indicated below.   |                           |                     |  |  |  |  |  |  |  |  |
| 4.  |      | . The US has been elected (Article 31).   |                           |                     |  |  |  |  |  |  |  |  |
| 5.  |      | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | a. is attached hereto (required only if not communicated by the International Bureau).  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | b. has been communicated by   | y the International Burea | au.                 |  |  |  |  |  |  |  |  |
|   |      | c. Is not required, as the application was filed in the United States Receiving Office (RO/US).   |                           |                     |  |  |  |  |  |  |  |  |
| 6.  |      | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | a. is attached hereto.  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |                           |                     |  |  |  |  |  |  |  |  |
| 7.  |      | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | a. are attached hereto (required only if not communicated by the International Bureau).   |                           |                     |  |  |  |  |  |  |  |  |
|   |      | b. have been communicated by the International Bureau.  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | c. have not been made; however, the time limit for making such amendments has NOT expired.  |                           |                     |  |  |  |  |  |  |  |  |
|   |      | d. have not been made and w   |                           |                     |  |  |  |  |  |  |  |  |
| 8.  |      | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |                           |                     |  |  |  |  |  |  |  |  |
| 9.  |      | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |                           |                     |  |  |  |  |  |  |  |  |
| 10.   |      | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |                           |                     |  |  |  |  |  |  |  |  |
|   | Item | s 11 to 20 below concern document(s)  | or information includ     | ed:                 |  |  |  |  |  |  |  |  |
| 11.   |      | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |                           |                     |  |  |  |  |  |  |  |  |
| 12.   |      | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |                           |                     |  |  |  |  |  |  |  |  |
| 13.   |      | A preliminary amendment.  |                           |                     |  |  |  |  |  |  |  |  |
| 14.   |      | An Application Data Sheet under 37 CFR 1.76.  |                           |                     |  |  |  |  |  |  |  |  |
| 15.   |      | A substitute specification.   |                           |                     |  |  |  |  |  |  |  |  |
| 16.   |      | A power of attorney and/or change of address letter.  |                           |                     |  |  |  |  |  |  |  |  |
| 17.   |      | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |                           |                     |  |  |  |  |  |  |  |  |
| 18.   |      | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |                           |                     |  |  |  |  |  |  |  |  |
| 19.   |      | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |                           |                     |  |  |  |  |  |  |  |  |
| 20.   |      | Other items or information: a. 1 sheet of a drawing showing figure 1 b. German language priority application c. 1st page of WO 2005/068689A1 d. PCT/IB/301 e. PCT/IB/304 f. PCT/IB/308(First Notice) g. PCT/IB/308(Second and Supplementary Notice) h. PCT/ISA/220 i. PCT/ISA/237 j. PCT/RO/101 k. PCT/IPEA/402 l. Response to written notice from ISA of 11/21/05 (w/English translation) m. Express Mail No.: EV843234384US |                           |                     |  |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NOTIFICATION NOTIFICATION NOTIFICATION AND LOCATION NOTIFICATION NOTIFICATION

| U.S. APPLICATION   | NO TI KOWN S  | ATTORNEY'S DOCKET NUMBER  |  |                           |   |                      |  |  |  |  |  |
|--|---|---|--|---------------------------|---|----------------------|--|--|--|--|--|
|  |   | 011235.57906US  CALCULATIONS PTO USE ONLY   |  |                           |   |                      |  |  |  |  |  |
|  |   | ave been submit   | tted   | <b>6300 00</b>            |   | PIO USE UNLY         |  |  |  |  |  |
|  |   | <del></del>   | ***************************************            |                           | \$300.00                                | -                    |  |  |  |  |  |
|  | mination fee  | nation report are   | pared by USPTO and all c                           | daime                     |   |                      |  |  |  |  |  |
| ır ınternational pro<br>satisfy provi  | eliminary exami<br>sions of PCT Ar  |   |  |                           |   |                      |  |  |  |  |  |
| All other situation  |   | \$200.00  |  |                           |   |                      |  |  |  |  |  |
|  | rch fee   |   | `  |                           |   |                      |  |  |  |  |  |
| Search fee (37 C   | Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to  |   |  |                           |   |                      |  |  |  |  |  |
| the USPTO  | as an Internatio  |   |  |                           |   |                      |  |  |  |  |  |
| International Sea<br>All other situation   |   | \$400.00  |  |                           |   |                      |  |  |  |  |  |
| An other situation   | TOTA  | \$900.00  |  |                           |   |                      |  |  |  |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding  |   |   |  |                           |   |                      |  |  |  |  |  |
| sequence lis   | sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |  |                           |   |                      |  |  |  |  |  |
| \$250 for each   | ch additional 50  | -   |  |                           |   |                      |  |  |  |  |  |
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| - 100  | / 50 =  | =   |  | x 250                     | \$                                      |                      |  |  |  |  |  |
|  |   |   | eclaration later than 30 mo                        | onths from the earliest   | \$130.00                                |                      |  |  |  |  |  |
| claimed priority date (37 CFR 1.492(h)).  CLAIMS NUMBER FILED  |   |   | NUMBER EXTRA                                       | RATE                      | \$130.00                                |                      |  |  |  |  |  |
| CLAIMS<br>Tatal alaima   |   |   | 0  | x 50                      |   |                      |  |  |  |  |  |
| Total claims   | <del></del>   | 20 - 20   | 0  | x 200                     |   |                      |  |  |  |  |  |
| Independent da   | _ <del></del>   |   | L  | + 360                     |   |                      |  |  |  |  |  |
| MULTIPLE DEPE  | ENDENT CLAIM  | \$1,020,00  |  |                           |   |                      |  |  |  |  |  |
|  |   |   |  | OVE CALCULATIONS =        | \$1,030.00                              |                      |  |  |  |  |  |
| Applicant cla  | ıms small entity  | status. See 37 C  | CFR 1.27. Fees above are                           |                           | \$4,020,00                              | · -                  |  |  |  |  |  |
| Orenessian for a   | f \$420 00 for 5  | niching the Engli   | ish translation later than 30                      | SUBTOTAL =                | \$1,030.00                              |                      |  |  |  |  |  |
|  |   |   | ish translation later than 30                      |                           | \$                                      |                      |  |  |  |  |  |
|  |   | (//   |  | TAL NATIONAL FEE =        | \$1,030.00                              |                      |  |  |  |  |  |
| Fee for recording  | the enclosed a  | ssignment (37 C   | FR 1.21 (h)). The assignm                          | ent must be               | _                                       |                      |  |  |  |  |  |
| accompanied by   | an appropriate  | cover sheet (37 (   | CFR 3.28, 3.31). \$40.00 pe                        |                           | \$                                      |                      |  |  |  |  |  |
|  |   |   | TOTA   | AL FEES ENCLOSED =        | \$1,030.00<br>Amount to be              |                      |  |  |  |  |  |
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|  |   |   |  |                           | charged:                                | \$                   |  |  |  |  |  |
| a. A chec  | k in the amount   | of \$XXXXXX to  | cover the above fees is er                         | nclosed.                  |   |                      |  |  |  |  |  |
| b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. XXXXXXUS) in the amount of \$ to cover the above fees. A  |   |   |  |                           |   |                      |  |  |  |  |  |
|  |   | s sheet is enclo  |  |                           | • |                      |  |  |  |  |  |
|  |   | noroby outbories  | d to charge any additional                         | foos which may be read    | ired or credit any overna               | ment to Denosit      |  |  |  |  |  |
| c. The Co  | ommissioner is i<br>nt No. <b>05-1323</b> (   | Attorney Docke  | o to charge any additional et No. 011235.57906US). | A duplicate copy of th    | is sheet is enclosed.                   | ment to Deposit      |  |  |  |  |  |
| <u> </u>   |   |   |  |                           |   |                      |  |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |   |  |                           |   |                      |  |  |  |  |  |
| NOTE: Where a  | ın appropriate  | time limit under  | 37 CFR 1.495 has not be                            | een met, a petition to re | evive (37 CFR 1.137(a) o                | r (b)) must be filed |  |  |  |  |  |
|  |   |   | cation to pending status.                          |                           |   |                      |  |  |  |  |  |
| 0  |   | 5 TO:   |  | O 1 1-1                   | 1, 10                                   |                      |  |  |  |  |  |
| SEND ALL COR   |   | E 10:   |  |                           | 4/1/                                    |                      |  |  |  |  |  |
|  | II & Moring LLP<br>ctual Property G   | roup.   | rar vov /  |                           |   |                      |  |  |  |  |  |
|  | sox 14300   | Jr. //  |  |                           |   |                      |  |  |  |  |  |
| 1  | ngton, D.C. 200   | 44-4300   | Robert L. Grabarek,<br>NAME<br>40,625              |                           |   |                      |  |  |  |  |  |
|  | o. (202) 624-250  | MBER  |  |                           |   |                      |  |  |  |  |  |
| Fax No   | o. (202) 628-884  |   |  |                           |   |                      |  |  |  |  |  |
| DI O/man   | July 19, 2006  DATE   |   |  |                           |   |                      |  |  |  |  |  |

## VAP20 Recid POT/FTO 19 JUL 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: Not Yet Assigned Confirmation No. Not Yet Assigned

Applicant : Friedrich-Wilhelm BACH, et al.

Filed : 07/19/2006

TC/A.U. : Not Yet Assigned Examiner : Not Yet Assigned

Docket No. : 011235.57906US

Customer No. : 23911

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

## SUBMISSION OF SUBSTITUTE SPECIFICATION

Sir:

Attached is a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

**CROWELL & MORING LLP** 

Dated: July 19, 2006

Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Attachments

Intellectual Property Group

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Washington, D.C. 20044-4300